



3PM

THIRD PARTY MARKETERS
ASSOCIATION

MANAGER EXCHANGE PROFILE

Company Name: _____

Address: _____

Primary Contact Name: _____ Website: _____

Phone: _____ Title: _____

Founding Date of Firm: _____ Email: _____

Number of Employees: _____ Ownership structure: _____

Current Total AUM (assets under management): \$ _____

In-House Marketing and Sales? Yes No

RFP & Marketing Communications Support Needed? Yes No

Strategy(s) to be Marketed: _____

Vehicle(s) to be Marketed: _____

Current AUM in Strategy(s): \$ _____

Desired Size of AUM in Strategy(s): \$ _____

Firm is Registered with: FINRA SEC State Registered MSRB FSA Other NFA

GIPS Compliant? Yes No

Current Client Types: Institutional HNW Family Offices Fund of Funds Other Intermediary

Target Distribution by Client Type: Institutional HNW Family Offices Fund of Funds Other

Target Distribution by Geography: _____

Any Carve Outs: Yes No

If Yes please explain: _____

Posted Date:

This form will be posted on the Third Party Marketers Association Manager Exchange for the latter of 90 days or until such that time the Manager asks that it be removed. Manager members have the option to repost for additional 90-day periods until the expiration of their annual membership. The form will be taken down automatically at the end of each 90-day period unless the Manager contacts 3PM Headquarters to request a repost.